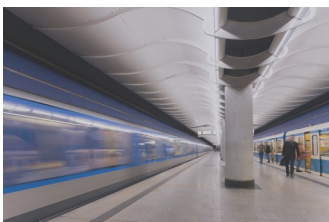


Search for report by name

<p><b>Plan Data Reports</b></p> <p>Plan summary, balances and transaction reports.</p>	<p><b>Participant Reports</b></p> <p>Participant exercise/ release payout reports and statements.</p>	<p><b>Tax Event Reports</b></p> <p>Participant tax event reports.</p>
<p><b>Disclosure Reports</b></p> <p>10K roll forward, Proxy and WARP reports.</p>	<p><b>Financial Reports</b></p> <p>Accounting, EPS, and stock compensation expense reports.</p>	<p><b>Non-Financial Audit Reports</b></p> <p>Grant acceptance and grant edit reporting.</p>

## EQUITY PLAN MANAGEMENT TOOLS ADDRESSING THE NEED FOR SIMPLE INTELLIGENCE.



You need your equity plan software to be efficient and intuitive. “A comes before B, C after B” except of course on those rare occasions when it doesn’t. Your platform should know that.

*“I do not have the time, or desire, to become certified, a power-user, or worse, attend some software ‘university’, to get what I need out of a platform. I need simple, fast and intelligent.”*

Plan Management’s proprietary platform, OptionTrax®, offers equity plan management capabilities built with the end-user in mind. With simple navigation, fewer clicks, robust reporting and a great participant experience, it’s a platform that knows you have other things to do. And it never gets jealous.

- Easy-to-learn, intuitive platform design
- Manages options, restricted stock, performance awards, warrants, cash awards, ESPP
- Fully embedded ASC 718/ IFRS financial reporting
- Participant Web portal
- Multi-currency functionality
- Real-time Administrative reporting with ad hoc tool

Please call for a free product demonstration ▶▶▶



Plan Management Corp.  
 16 North Bryn Mawr Ave., #1400  
 Bryn Mawr, PA 19010  
 1-888-OPT-TRAX  
 1-888-678-8729  
 Email: [info@optiontrax.com](mailto:info@optiontrax.com)  
 Ask for Elena Thomas or Jen Levine

## EQUITY AWARD FEATURES



### Automated Tracking

- Handle all award types—stock options, RSAs/RSUs, SARs, performance awards, phantom awards, warrants and ESPPs
- Manage unlimited vesting schedules, time and/or performance
- Use your own custom flexible termination schedules
- Process transactions with fewer clicks
- Track ESPP cash contributions, share purchases, withdrawals and more



### Robust Reporting

- Easy to navigate, real-time summary reporting and transaction details
- Flexible report filtering by demographics, award types and variables
- Format reports in pdf, html or Excel
- Create ad hoc reports
- Report using any as-of date
- Produce Performance Award reports using the most robust performance accounting system on the market



### Streamlined Compliance

- Calculate Black-Scholes and intrinsic award values with streamlined assumption generation and expense reporting, including period lock-down
- Load custom award valuations
- Meet disclosure requirements, including roll-forward, proxy, diluted EPS, tax accounting (ASC 718, ASC 260)
- Annual SOC 1 Type II compliance audit and annual penetration and vulnerability testing



### Stakeholder Communication

- Online accounts and transactions in a simple, intuitive interface
- Custom electronic grant agreements and acceptance
- Participant document management
- Online modeling and exercising
- Multiple exercise models and broker choice

## PLATFORM FUNCTIONALITY

Web-Based Application	Administrative Portal	Administrative Reporting	ASC 718/IFRS Valuation and Reporting	Participant Portal
<ul style="list-style-type: none"> <li>• SSAE-16/SOC 1 compliance audit annually</li> <li>• Easy-to-navigate and easy-to-learn</li> <li>• Role security allows for customization of access rights at the individual or group level</li> <li>• Platform utilizes 128-bit data encryption (HTTPS)</li> <li>• Daily and event-driven backup</li> </ul>	<ul style="list-style-type: none"> <li>• System set-up allows for extensive configuration</li> <li>• Comprehensive auditing of all data elements</li> <li>• Stores and calculates tax information for year-end employee and corporate reporting</li> <li>• Easily processes employee events such as terminations and transfers</li> <li>• Processes corporate events such as stock splits and dividends</li> <li>• Create user-defined participant codes and grant batch IDs</li> <li>• Import or process new grants/awards directly to the platform</li> <li>• Grant Revisions—provides admins and auditors with note requirements on edits to grants</li> <li>• ESPP offering and purchase functionality</li> </ul>	<ul style="list-style-type: none"> <li>• Core reconciliation and participant tax reporting</li> <li>• Easily generate in HTML, PDF, and MS Excel</li> <li>• Ad Hoc tool allows customization of existing and creation of new reports</li> <li>• Intuitive filter and criteria report set-up page</li> <li>• Option and Award Summary and Outstanding reports</li> <li>• Exercise and Release reports</li> <li>• Cancellation reports</li> <li>• Taxes due reports</li> <li>• Vesting and pending vesting reports</li> <li>• Proxy table reports</li> </ul>	<ul style="list-style-type: none"> <li>• Assumption generation and justification</li> <li>• Expense lock-down</li> <li>• Stock prices and risk-free rates updated daily</li> <li>• Peer group volatility calculations</li> <li>• Black-Scholes and Intrinsic Value, as well as Issuer-defined fair value included</li> <li>• Peer group assignment for valuation and forfeiture estimates</li> <li>• Expected life and forfeiture estimate calculations</li> <li>• Dynamic and static forfeiture estimate</li> <li>• Flexible expense attribution—straight-line or front-loaded</li> <li>• Supports equity, liability, and non-employee accounting</li> <li>• Performance award probability accounting with separate EPS hedge</li> <li>• Easily generate HTML, PDF, Excel</li> <li>• Expense reporting</li> <li>• Dilutive EPS</li> <li>• Disclosure/roll-forward with detailed drill-downs</li> <li>• WARP reporting</li> </ul>	<ul style="list-style-type: none"> <li>• Secure online access</li> <li>• View positions/holdings</li> <li>• View portfolio value of awards</li> <li>• Show values in any currency</li> <li>• Produce statements</li> <li>• Online grant delivery and agreement acceptance</li> <li>• Model options and restricted awards/units</li> <li>• Request or execute an exercise</li> <li>• Document library access for plan prospectus, grants paperwork, reports, announcements, etc.</li> <li>• Direct email to administrator</li> </ul>