

Painless ethics management

Introduction to Ectrl: Ethicontrol Whistleblowing Platform



Ethicontrol

ethicontrol.com
facebook.com/ethicontrol

Ethicontrol as a company

254k

clients' employees served

70+

intake languages

1

non-outsourced call center
with native speakers

37

jurisdictions

6+

manager's interface
languages

14

Years of experience in
whistleblowing

Ethicontrol as a company

14

employees

2

owned and managed
by co-founders

8

years on the market

7

located in European
cities

ISO
27001

Information security
management certified

ISO
27701

Privacy management
certified

Trusted by corporations and cost-effective for SMEs



Ethicontrol is more than just a hotline, it's three systems in one platform...



Speak up / Whistleblowing
communication platform



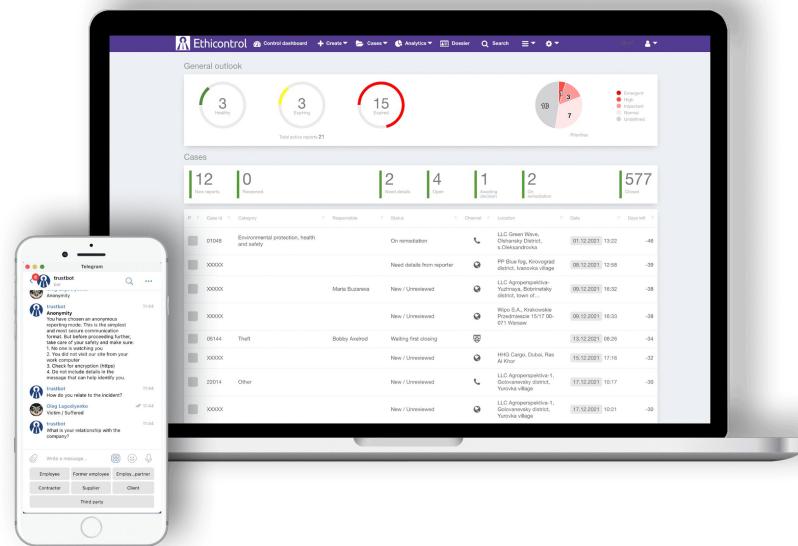
Ethics incident
management



Investigations & case
management

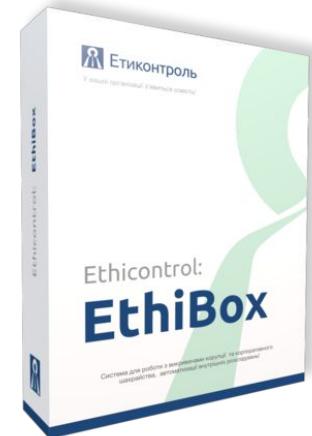
... It's a software and a methodology

In line with our “Painless ethics management” concept we developed our own methodology helping you avoid mistakes which you will not avoid by meeting compliance requirements



A smart process, which is embedded in the system, but customizable.

Online system in a secure cloud **anywhere in the world**



or the System **on your server** or data center

... evolving compliance suite within 2025-2026



ECTRL

- Whistleblowing platform
- Responding to incidents and violations
- Case management and investigations
- CRM-like module for working with profiles and data enrichment with opendata
- Multi-channel and multi-process for different use-cases (ESG, HSE, Security, Audit etc.)



ECLIR

Beta

- Single web-room for integrity monitoring and compliance procedures Plan 2025
- Ad hoc filling of risk forms (conflict of interest, gifts, KYC, candidates, etc.)
- Campaigns for filling in risk forms (dispatching, reminders, collection, verification)
- Reconciliation and scoring of risk indicators with registers, lists and platforms
- Multi-level approval of risks and conclusions Plan 2025



ECHO

Alpha

- Single web-room of the compliance officer Plan 2025
- Monitoring and analysis of the implementation of compliance program and remediations actions from cases / risk forms / campaigns
- Registers of risks, controls, processes and their linkage to ECTRL / ECLIR / ECRG records Plan 2025
- Risk assessment and analysis Plan 2026



ECRG

Alpha

- Regulatory Compliance Register and Documents
- Register of Compliance Requirements and Responsible Owners
- Register of Licenses and Permits Plan 2025
- External Inspections and Audits Plan 2026



19 rules of painless whistleblowing

1. Encourage reporting and protect whistleblowers
2. Protect confidentiality and anonymity
3. Protect reported messages
4. Structuring instead of filtering
5. A continuous dialogue with the reporter and accountability
6. Maximum transparency
7. Avoid conflicts of interest throughout the process: from registration to ethics decision.
8. Prioritise
9. Whole event life cycle in a single system
10. Involve non-compliance users
11. Set due dates control
12. Validate and evaluate evidence
13. Only substantiated facts with verified evidence are taken into account
14. Standardisation and automation to increase productivity and fairness.
15. Case report ready from the first day.
16. Lack of a conclusion is also a conclusion.
17. Fair decisions and sanctions
18. Learn from violations
19. Report on reports

<https://ethicontrol.com/en/painless-ethics-management-methodology>

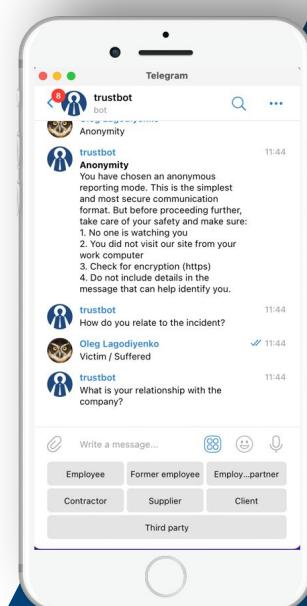
Why our customers ❤️ us?

- 1. Unlimited users**
- 2. Fully featured incident & case management in **ALL** tariff plans + Transparent pricing**
- 3. Choice of rollout options + Customisations**
- 4. Free advisory support + Media campaign support**
- 5. Dedicated manager + Direct contact with top management and cofounders**

Convenient and comprehensive registration of incidents

Full control and delivery guarantee

- Online **24/7** and **35+** languages
- **12** modern intake channels
- Fast registration directly into the application.
Flexible configuration of the incident registration process
- Maintaining a secure dialogue with anonymous reporters at all stages of an investigation
- Internal team operational notes and memos.
Retrospective recording of events and fieldwork done
- Machine or manual translations
- Native speakers over the toll-free hotline





Registration of incidents and speak up reports



Speak up reports
Anonymous staff
messages
Complaints of
business partners



Web intake portal



Toll free line -
agent web portal



Smart answering
machine



Integrated email



Authorised
compliance, audit
and security users



Internal memos



Incident reports

- Maximum protection of
anonymity and
information
- NOT a filter, but a quality
barrier - protection from
"spam"
- Constant dialogue with
the reporter and
accountability
- Protection against
subjectivity and "filtering"



Secret code for
feedback
communication



Feedback portal for
speak up reporters



Automated
notifications and
escalations



Multiple portals, different stakeholders, multiple dashboards



HSE portal



Anticorruption portal



Compliance portal



Direct / Incident reports



Investigation reports



Single register /
incident
management



Head of
HSE



Chief of
Security



Compliance



Internal audit



Head of HR

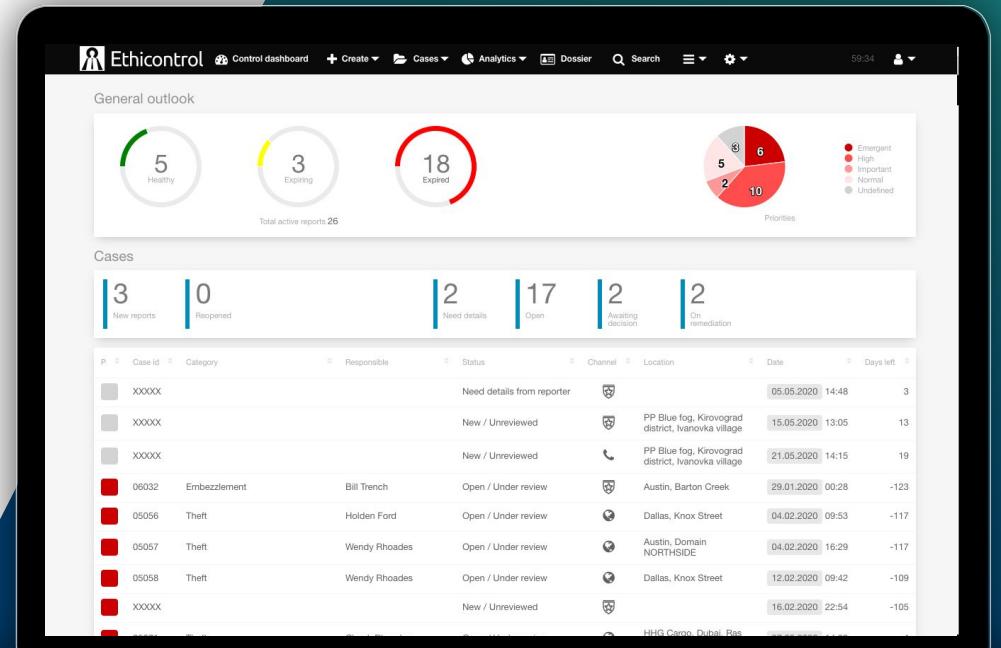


CEO and executives

Unified event registry

Automatic control of response time

- All security and compliance offenses in one place.
- The entire life cycle of an issue in a single system - from intake to management actions
- Notification of new events and status changes by mail or web pushes
- Categorisation and prioritization of incidents
- Split of access rights by regions, divisions, organisational hierarchy or categories
- Incidents delegation, tasks deadlines set up and control.





Incident management

Inability to delete

Completeness evaluation

Categorisation of violations

Prioritisation

Time limit

Assignment of responsibility

Response

Reporting on the activities

Feedback to the reporter



Event



- Maximum transparency
- Prioritisation and triage
- Productivity and convenience for users, standardisation and automation
- Limiting tasks in time and monitoring their implementation
- Split of access rights

Notification of status and event changes



Health monitoring and instant reports

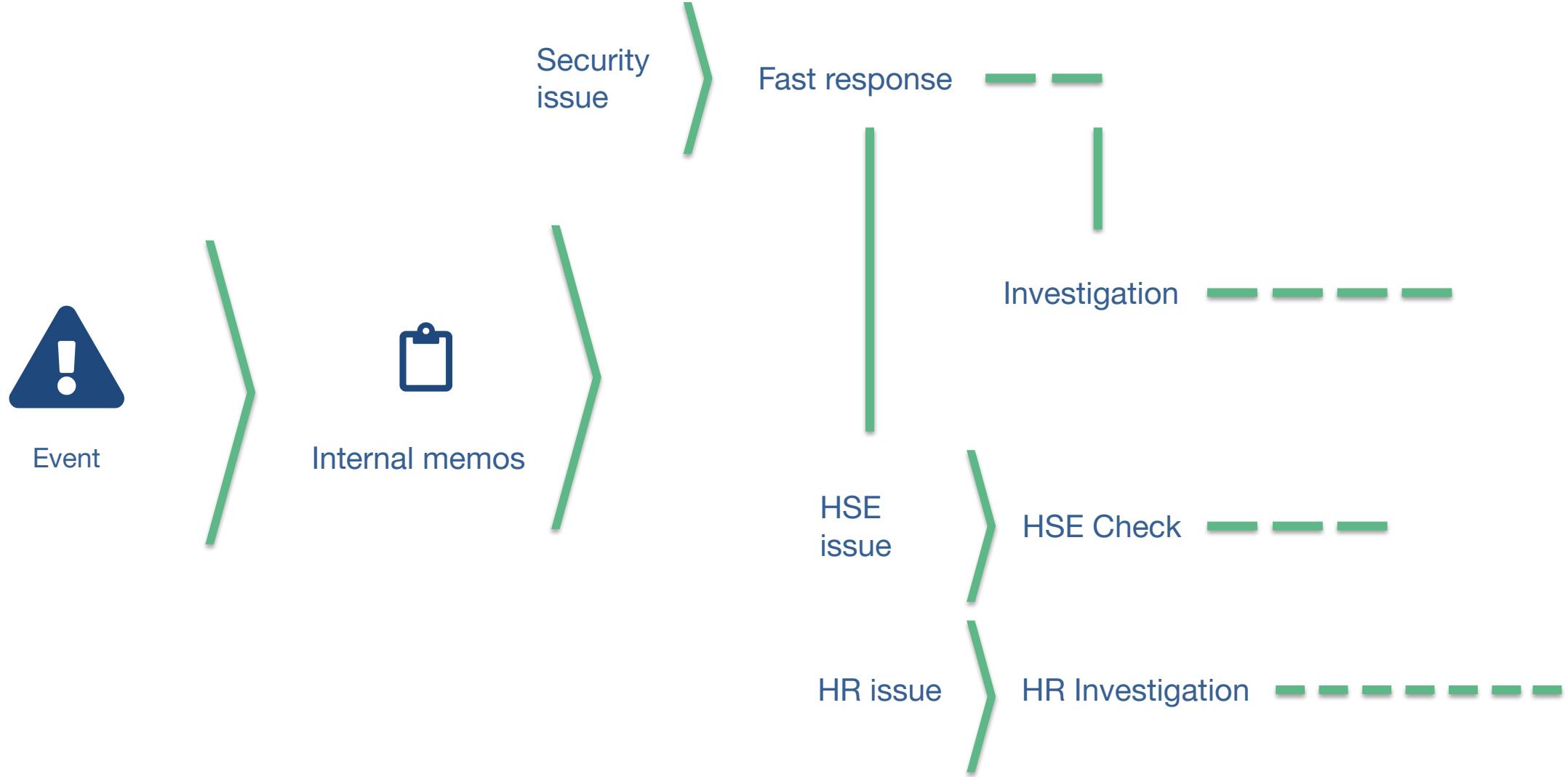


The accumulation of information on units, persons involved, etc.





Multiple business processes





Case management

- One single process for the whole event life cycle
- Importance of evidence
- Avoiding conflicts of interest
- Substantiated facts and proven evidence
- Lack of conclusion is also a conclusion



Team conclusions

- Wrongdoers
- Confidence assessment
- Controls
- Action Plan

Final management decision

Final message to the reporter / initiator



Feedback portal for reporters



Analytics & reports



Dossier database (legal entities, individuals, assets, links)

The whole life cycle of an incident in a single system

Process requirements and features (statuses, priorities, timeframes, categories, criteria, etc.) are defined by each client independently.

A process that provides evaluation and feedback.

Registration

Quality barrier and ordering

Registration of an incident

Classification and Triage

Escalation

Primary check

Feedback

Organization

Appointment of responsible person

Team organization

Action agenda and hypotheses

Work with participants

Work with other sources

Feedback

Fact-finding

Fact capture and mapping

Search for evidence

Identification of interactions

Evidence evaluation

Fact ranking

Job sufficiency evaluation

Finalisation

Findings of the investigation team

Final management decision

Control Questionnaire

Response actions

Feedback and assessment

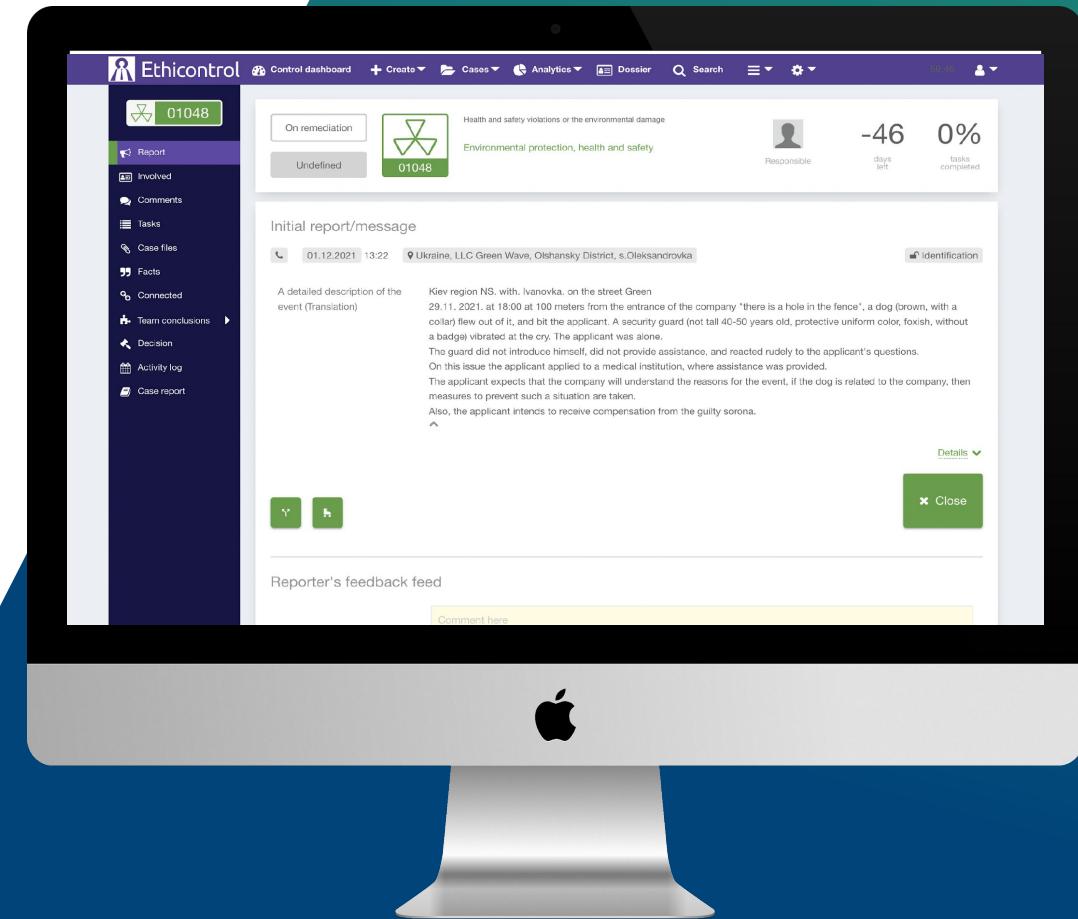
Feedback of assessment

It is important not just to obtain information, but to respond to it in a timely and correct manner.

Profiling of persons involved and case files register

Accumulation of structured information about the involved and investigations

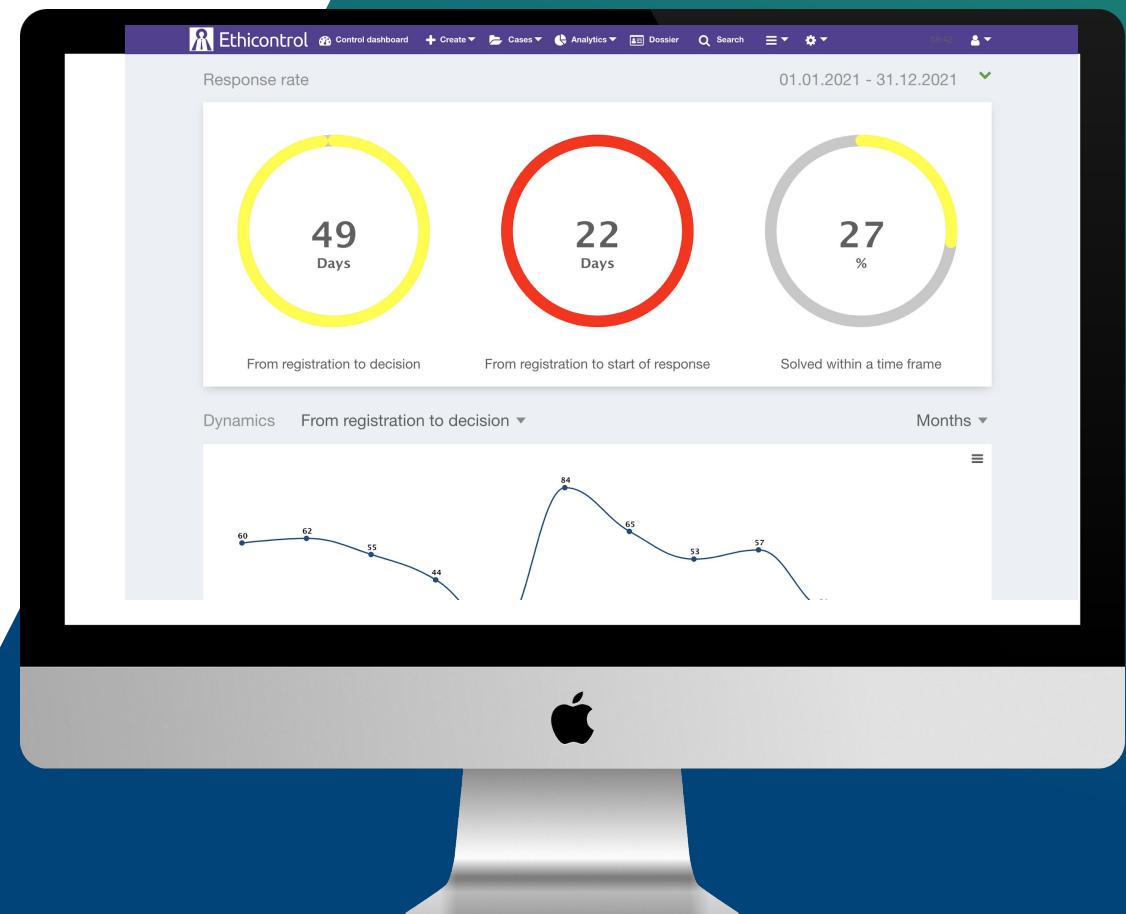
- Structuring and storing the files of involved in the incidents
- End-to-end search by legal and physical persons with identification of connections by historical events
- Integration with public registries and business intelligence systems (e.g.: YouControl, SPARK, Dun & Bradstreet), 1C accounting & ERP system, etc.
- Full integration with Ethicontrol's Eclir.com - integrity monitoring & compliance portal system



Instant reports and analytics

Generate reports re timing and quality of responses in real-time

- Quantitative analytics in a section: regions, divisions, channels, responsible, categories of infringements and processing statuses
- Reports on actual, prevented losses and measures taken.
- Reports in the form of a traditional «Internal Memo» for management
- The quality of response and the speed of investigations
- Identifying trends and tracking dynamics
- Automatic distribution of weekly / monthly status reports by email



Enterprise features



Isolated team in our contact center or automation of an existing client team in the system



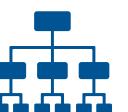
Escalation of incidents to audit committees, if senior managers



Escalation to a head office in case the regional management is involved



Single risk compliance control panel for several intake portals



Delimitation of access rights by business segments or macro regions



Establishing responsibilities and defining access by categories of violations



Retrospective incident recording and monitoring of internal users' performance



Mass import of existing Compliance and Security Event Registry in spreadsheet format



Accumulation of stories by alleged or involved and uploading to other systems - ERP, accounting etc.

International compliance



EU
Whistleblowing
Directive



ISO 37001 +
ISO 37002



SAPIN II Law



Foreign Corrupt
Practices Act of
1977 (FCPA)

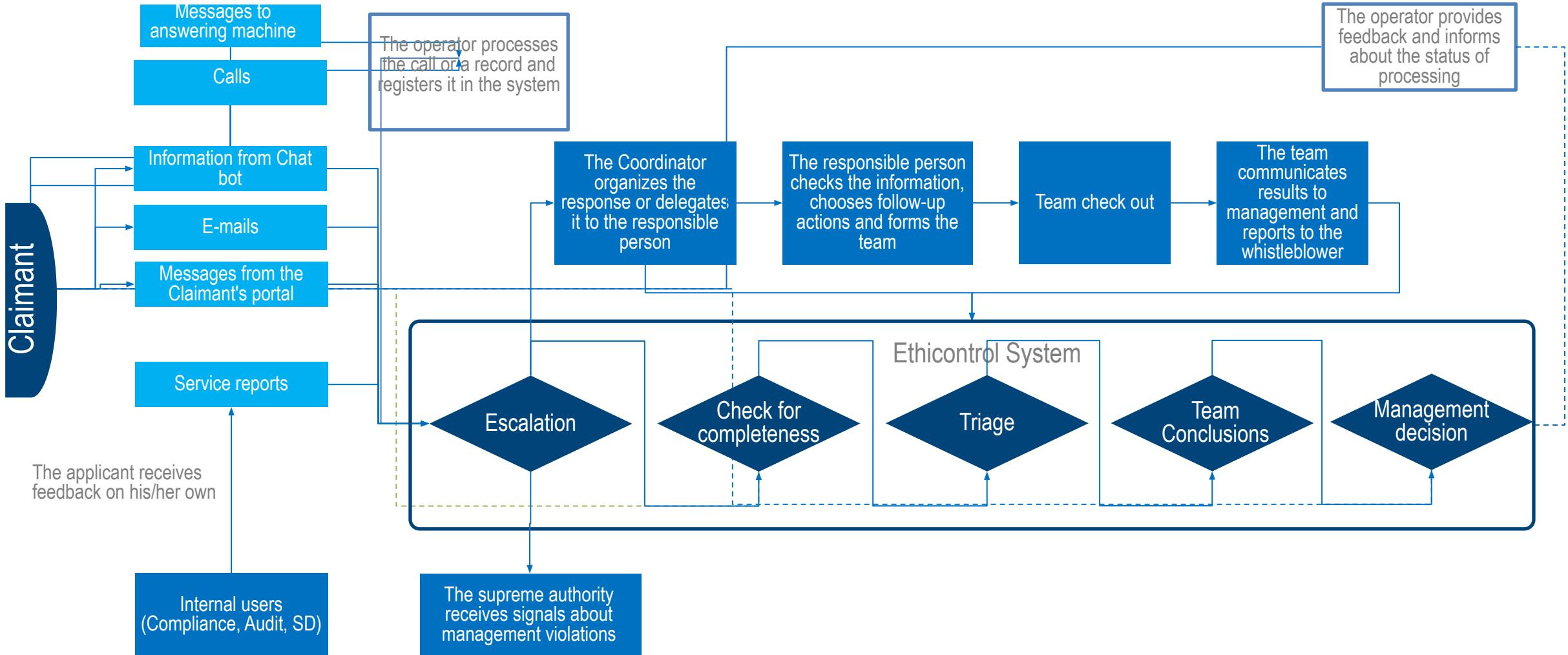


UK Bribery Act 2010



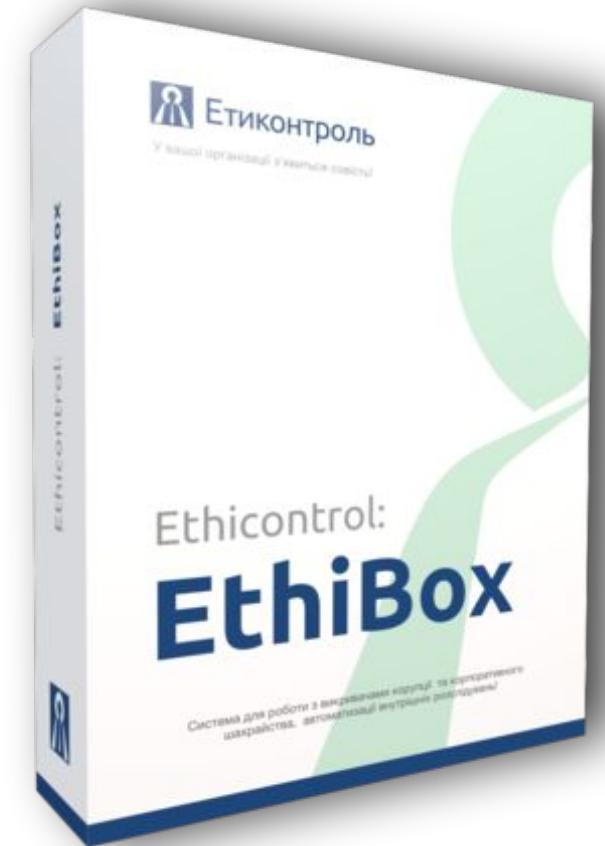
General Data
Protection Regulatory

You determine your own process



Maximum client privacy protection

- There is a boxed solution, **EthiBox** to host the system on your infrastructure
- We adhere to the "**zero knowledge**" approach towards our clients
- Signing a **non-disclosure agreement** with a client's wordings
- Transfer of full access control to the server is possible
- Certified data centers according to the most stringent international standards





As a EU company - 100% GDPR compliant

Organisational level

Company registered in EU

Assigned Data Protection Officer

Information security measures.
Please ref.
<https://ethicontrol.com/en/trust-center>

Number of additional disclosures made on
<https://ethicontrol.gdprpage.com/>

Support of DPA, data requests, data breach notifications, data deletion requests.

Technical level

Storage within EU only

No logging of personal data of visitors and whistleblowers

No use of scripts or any other digital footprint tracking tools

Encryption of data in transit and stored data

No metadata analysis or research with our customer data

Data protection disclaimers and other information during the reporting process

Special security functions in relation to access and processing of data

Single view of a person and collected data (Dossier)

Functional level

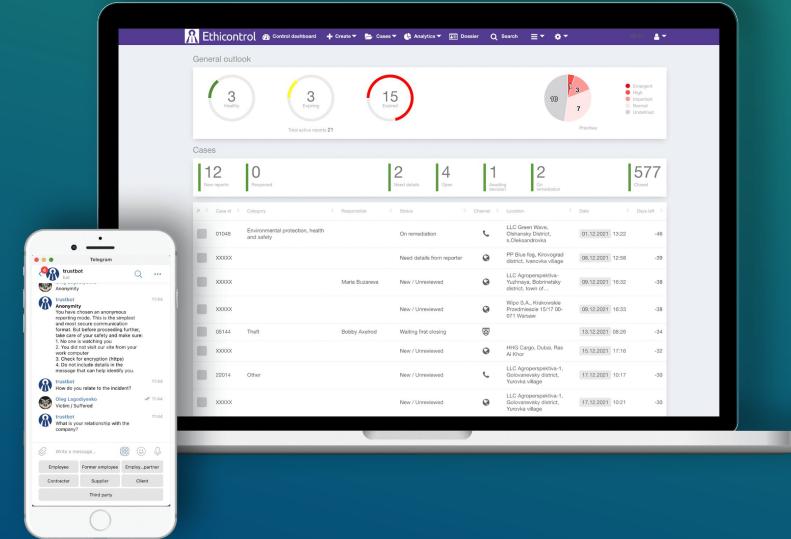
Reminders and notifications when certain data protection criteria occur

Support of sanitisation (anonymisation) of case details (e.g. personal data) and optionally also file attachments

Zero trust policy within apps
<https://ethicontrol.com/en/trust-center>

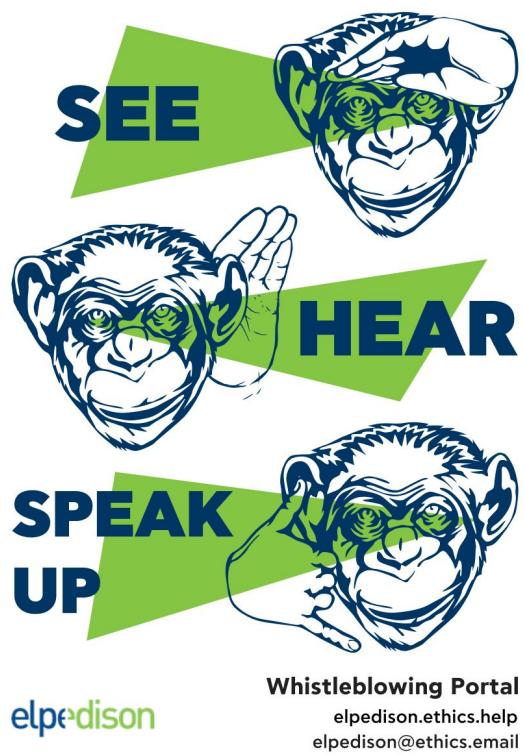
Authorisation management and role concept for fine-tuning access to sensitive case contents

It's better to see live!...



BOOK A DEMONSTRATION

Dedicated communication campaign



Take advantage of the
anonymous and secure line!



Do you want to report a violation of personal, corporate or public interests?
Then call our hotline: +13 024 519 455. You can also use our web portal - demo.ethiccontrol.com.
Or send an email to demo@ethics.email.

demo.ethiccontrol.com

Dedicated communication campaign

Шахрайство - це...
Fraud is...



...роздрукувати „50 відтінків сірого” на офісному принтері

...printing your favourite «fifty shades of grey» with an office printer

Шахрайство - це...
Fraud is...



...казати босу, що проект закінчено, до його завершення

...reporting to a boss about project delivery before its true completion

Ethicontrol.com

Шахрайство - це...
Fraud is...



...відзвітуючи про витрати у відрядженні, яких не було

...claiming nonexistent business trip expenditures

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Campaign support video explainer



Boutique customisations

Branding	Intake channels	Structure & Process	Custom anything
Colors	Multiple web-portals	Regions	Location
Buttons	WhatsApp and others	Segments	Hosting
Headers	Any greetings and IVRs	Functional domains	Analytics and reports
Footers	Chatbots	Roles & Access rights	Security rules
Layouts	Skype	Statuses & transitions	Settings
Fonts	Live chat	Procedures	Exports
Texts	Call scripts	Scenarios	Fees
Photos	Toll free line	Automations	NDA
Logos	Fields	Workflows	TOB
Case management	Questions	Notifications	SLA
Reports	SMS	Categories	Features
	Ethics.ae	Statuses	Integrations
	Whistleblowing.ae	Priorities	
	Speakup.ae	Dictionaries and labels	
		Buttons	
		Case structure	

Painless ethics management: Avoid mistakes and lower your costs!

Tallinn	+372 668 2755
Kyiv	+38 (044) 393 5834
Warsaw	+48 (22) 602 2795
Athens	00800 848 1510
Newark	+1 (302) 451 9455
Dubai	800 032 1051

